

# COVERABILITY IN WSTS

We want to find an algorithmic solution for COVERABILITY in WSTS

## COVERABILITY

Given: WSTS  $(S, \rightarrow, \leq)$ , initial configuration  $s_0 \in S$  and target  $t \in S$

Question:  $\exists t' \in S: s_0 \rightarrow t' \geq t$

Def Let  $(S, \leq)$  wgo and  $B \subseteq S$ . Then we call

$$B^\uparrow := \{s \in S \mid \exists b \in B: s \geq b\}$$

the upward closure of  $B$  and we call  $B$  upward closed (upcl.) set. The downward closure and the downward closed sets are defined analogously.

Def: We define the two following sets in WSTS  $(S, \rightarrow, \leq)$

- ①  $\text{pre}(X) = \{s \in S \mid \exists x \in X: s \rightarrow x\}$
- ②  $\text{post}(X) = \{s \in S \mid \exists x \in X: x \rightarrow s\}$

With these two definitions we can reformulate/rephrase the question of the COVERABILITY-problem:

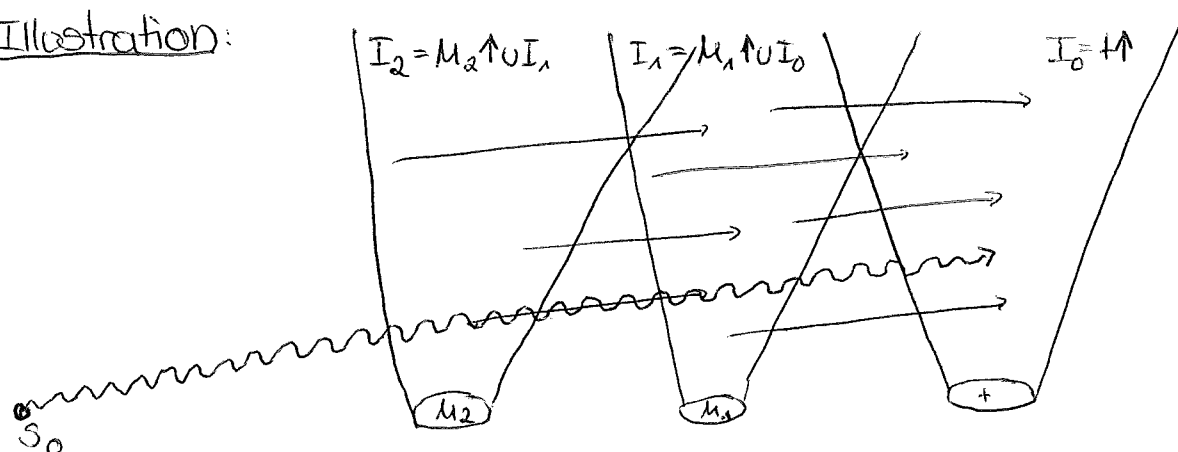
$$\exists t' \in S: s_0 \rightarrow t' \geq t \Leftrightarrow t \in \mathcal{R}(s_0) \downarrow \Leftrightarrow s_0 \in \text{pre}^*(t^\uparrow)^\uparrow$$

where  $\text{pre}^*$  is the transitive-reflexive-closure of  $\text{pre}$ . Same for  $\text{post}^*$ .

## ABDULLA'S BACKWARDS SEARCH

- Idea:
- ① Compute a fixpoint iteration  $I_0 \subseteq I_1 \subseteq \dots \subseteq I_k \subseteq I_{k+1}$  and  $t^\uparrow = I_0$
  - ② In each step compute  $\text{pre}$  of  $I_{i-1}$
  - ③ In the end check if  $s_0 \in I_k$

Illustration:



So starting from an upcl. set  $I$  we want to decide whether  $s_0 \in \text{pre}^*(I)^\uparrow$ .

Lemma Let  $(S, \rightarrow, \leq)$  be a QOTS. Then  $\leq$  is a simulation if and only if  $\text{pre}^*(I)$  is upcl. for all upcl. sets  $I \subseteq S$ .

Proof Sheet 06 Ex 04.

So we have

$$\begin{aligned} \text{pre}^*(I) &= \text{pre}^*(I) \uparrow = (I \cup \text{pre}(I) \cup \text{pre}^2(I) \cup \dots) \uparrow \\ &= I \uparrow \cup (\text{pre}(I) \cup \text{pre}^2(I) \cup \dots) \uparrow \\ &= I \cup \text{pre}(I \cup \text{pre}(I) \cup \dots) \uparrow \end{aligned}$$

which means that  $\text{pre}^*(I)$  is the least fixpoint of  $F(x) = I \cup \text{pre}(x) \uparrow$ .

This least fixpoint exists by Kleene if you consider the following chain

$$I_0 := \emptyset \quad I_{i+1} := I \cup \text{pre}(I_i) \uparrow$$

$$\text{and } \text{pre}^*(I) = \bigcup_{i \in \mathbb{N}} I_i.$$

$$I_0 \subseteq I_1 \subseteq I_2 \subseteq \dots$$

|  
upcl

But does this ascending chain  $I_0 \subseteq I_1 \subseteq I_2 \subseteq \dots$  stabilize after finitely many steps? In other words can we compute the least fixpoint?

Theorem Consider a qo  $(S, \leq)$ . The following statements are equivalent:

- ①  $(S, \leq)$  wqo.
- ② For every infinite  $\leq$ -increasing seq  $I_0 \subseteq I_1 \subseteq I_2 \dots$  of upcl sets  $I_j \subseteq S$ , there is a  $k \in \mathbb{N}$  with  $I_k = I_{k+1}$ .
- ③ For every infinite  $\leq$ -increasing seq  $I_0 \subseteq I_1 \subseteq \dots$  of upcl. sets  $I_j \subseteq S$ , there is an  $l \in \mathbb{N}$  with  $I_l = I_{l+1} = I_{l+2} = \dots$

Proof

①  $\Rightarrow$  ②) Towards a contradiction, assume  $I_0 \subsetneq I_1 \subsetneq I_2 \dots$ . Then there are  $a_0 \in I_1 \setminus I_0, a_1 \in I_2 \setminus I_1 \dots$ . Since  $I_j$  are upcl,  $a_j \not\leq a_i$  with  $i, j \in \mathbb{N}, i < j$ .  $a_0, a_1, a_2, \dots$  is a bad sequence.  $\nexists$  to  $(S, \leq)$  wqo

②  $\Rightarrow$  ③) Towards a contradiction, assume there is an infinite seq.  $I_0 \subseteq I_1 \subseteq \dots$  s.t.  $\forall k \in \mathbb{N}$  there is a  $k_1 \in \mathbb{N}$  with  $k < k_1$  and  $I_k \subsetneq I_{k_1}$ . For  $k_1$  we can repeat the process and get a  $k_2 > k_1$  with  $I_{k_1} \subsetneq I_{k_2}$ . Then  $I_k \subseteq I_{k_1} \subseteq \dots$  is a seq violating ②  $\nexists$

③  $\Rightarrow$  ①) Consider  $(a_i)_{i \in \mathbb{N}}$  in  $S$ . Define

$$I_0 := \{a_0\} \uparrow$$

$$I_1 := \{a_0, a_1\} \uparrow$$

$\vdots$

we obtain a seq  $I_0 \subseteq I_1 \subseteq \dots$ . By ③ we have  $l \in \mathbb{N}$  with  $I_l = I_{l+1}$ . This means  $\exists j < l+1$  with  $a_j \leq a_{l+1}$ .

So yes, we can compute the LFP of  $F$  in finitely many steps.

Problem But to do so, we must be able to compute  $F$ . This is not possible in the general case. If  $|I_j| = \infty$  we will have two problems

- ① We can not represent  $I_j$ .
- ② We cannot compute the pre of an infinite set.

Solution for ①: Consider only the minimal elements.

Def Let  $(S, \leq)$  be wqo and BCS. A set of minimal elements of  $B$  is a set  $\min(B) \subseteq B$  containing for every  $b \in B$  some  $m \in \min(B)$  with  $m \leq b$  and  $\min(B)$  is an antichain.

Corollary  $(S, \leq)$  wqo, BCS upcl. Then  $\min(B)^\uparrow = B^\uparrow = B$  and  $\min(B)$  is finite.

Remark:  $\min(B)$  is not unique since we have no antisymmetry ( $a \leq b \wedge a \geq b \not\Rightarrow a = b$ ). In practice, one of the two is used arbitrarily, but the choice is deterministic. So we will represent  $I_j$  as  $\min(I_j)^\uparrow$ .

Solution for ②: To solve this problem you have to consider the concrete WSTS and find an algorithm which decides  $\min(\text{pre}(m)) = \min(\text{pre}(m)^\uparrow)^\uparrow$

Def: We call an effective WSTS pre-effective if  $\min \text{pre}$  is decidable.

So  $s_0 \in \text{pre}^*(I)$  iff  $\exists i s_0 \in I_i$   
 iff  $s_0 \in I_k$  for  $k$  s.th.  $I_k = I_{k+1}$

### ABDULLA'S BACKWARDS SEARCH

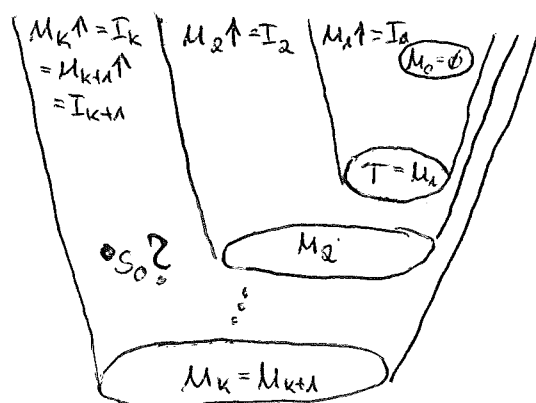
INPUT:  $T \subseteq S$  finite set of targets and  $T^\uparrow = I$  and  $s_0 \in S$   
 Compute the following sequence

$$M_0 := \emptyset$$

$$M_{i+1} := \min(B \cup \bigcup_{m \in M_i} \text{minpre}(m))$$

If the LFP is found test for  $s_0 \in M_k^\uparrow$ .

### Illustration



Remark The function  $\text{minpre}(m)$  has to have the effect of  $\text{min}(\text{pre}(m \uparrow) \uparrow)$

Lemma  $M_j \uparrow = I_j$  for all  $j \in \mathbb{N}$

Proof by induction.

IB  $n=0$   $M_0 = \emptyset = I_0$

$$\begin{aligned}
 \text{IS } j=j+1 \quad M_{j+1} \uparrow &= \text{min} (B \cup \bigcup_{m \in M_j} \text{minpre}(m)) \uparrow \\
 &= \text{min} (B \cup \bigcup_{m \in M_j} \text{min}(\text{pre}(m \uparrow) \uparrow)) \uparrow \\
 &= B \uparrow \cup \left( \bigcup_{m \in M_j} \text{min}(\text{pre}(m \uparrow) \uparrow) \uparrow \right) \\
 &= I \cup \bigcup_{m \in M_j} (\text{min}(\text{pre}(m \uparrow) \uparrow) \uparrow) \\
 &= I \cup \bigcup_{m \in M_j} \text{pre}(m \uparrow) \uparrow \\
 &= I \cup \text{pre}(M_j \uparrow) \uparrow \\
 &= I_{j+1}
 \end{aligned}$$

Theorem: COVERABILITY is decidable for a pre-effective WSTS (Abdulla 1996)

Proof: Let  $I = T \uparrow$ . Then there is a set  $T \uparrow$  with  $s_0 \rightarrow s$  iff  $s_0 \in \text{pre}^*(I) = \text{pre}^*(I) \uparrow = \bigcup_{i \in \mathbb{N}} I_i$  with  $I_0 := \emptyset$  and  $I_{i+1} = I \cup \text{pre}(I_i) \uparrow$ .

By lemma from above we have  $\bigcup_{i \in \mathbb{N}} I_i = \bigcup_{i \in \mathbb{N}} M_i \uparrow$ . By lemma  $\exists k$  s. th.  $M_{k+1} \uparrow = M_k \uparrow = \bigcup_{i \in \mathbb{N}} M_i \uparrow$

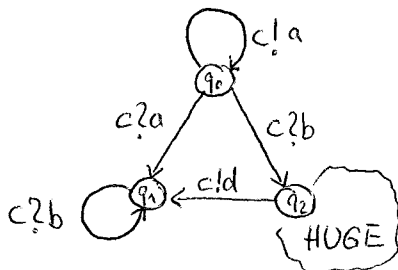
So the algorithm constructs

$M_0, M_1, M_2, \dots, M_k$

and stops when  $M_k \uparrow = M_{k+1} \uparrow$ . Then it checks if  $s_0 \in M_k \uparrow$ . Both is possible to do since  $\leq$  is decidable.

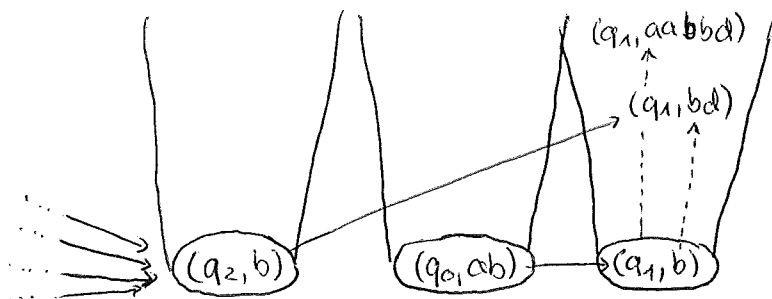
## FORWARDS SEARCH

Problem: Consider the following LCS

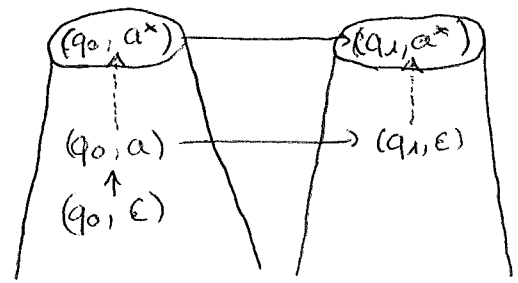


If we would start Abdulla's Backwards Search with the target  $(q_1, b)$  the algorithm would have to explore this HUGE-part of the LCS instead of answering this obvious problem. We will never be able to reach  $q_2$  via  $q_0 \xrightarrow{c?b} q_2$  since this transition is not enabled.

So we want a sound method to narrow the search space of Abdulla's Backwards Search



Backwards Search



Forwards Search

Idea: Guess forward inductive invariants containing  $\beta$  and not  $\vdash (q_1, b)$ . These invariants are going to be dwdl. sets, which can contain infinitely many elements.

Question How do we finitely (algorithmically) represent downward closed sets?

Def Let  $(S, \leq)$  be a wgo. Then a pair  $(L, \llbracket \cdot \rrbracket)$  is called an Adequate Domain of Limits (ADL) if  $L$  is the set of Limits elements with  $L \cap S = \emptyset$  and  $\llbracket \cdot \rrbracket : L \cup S \rightarrow \mathcal{P}(S)$ .

(L1) For  $l \in L$ .  $\llbracket l \rrbracket$  dwdl. Moreover,  $\llbracket s \rrbracket = \{s\}$  for  $s \in S$ .

(L2) There is a top element  $T \in L$  with  $\llbracket T \rrbracket = S$ .

(L3) For any dwdl. set  $D \subseteq S$ , there is a finite set  $D' \subseteq S \cup L$  with  $\llbracket D' \rrbracket = D$ .

It turns out that every wgo has an (canonical) ADL.

Def Let  $(S, \leq)$  be a wgo.

① We call  $D \subseteq S$  directed if  $\forall x, y \in D \exists z \in D$  s.t.h.  $z \gg x$  and  $z \gg y$

② A set  $I \subseteq S$  is an ideal if it is dwdl. and directed

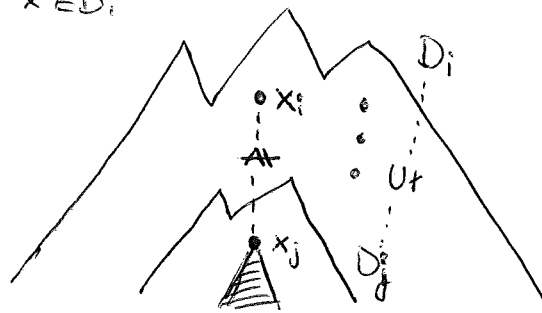
We write  $\text{Ideals}(S)$  for the set of all ideals of  $S$ .

Lemma: Let  $(S, \leq)$  be a wgo. Then any seq  $D_1 \not\supseteq D_2 \not\supseteq \dots$  of dwdl. sets is finite.

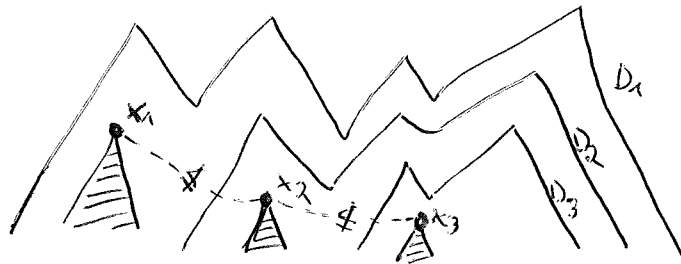
Proof Towards a contradiction, assume there is a sequence  $D_1 \not\supseteq D_2 \not\supseteq \dots$  of dwdl. sets which is infinite. Since all  $D_i$  are dwdl. we have for  $x' \in S, x \in D_j \Rightarrow x' \in D_j$ . Hence, the sequence  $x_1, x_2, \dots$  where for all  $i < j$   $x_i \in D_i$  but  $x_i \notin D_j$  is a bad sequence. This contradicts  $(S, \leq)$  wgo. These  $x_i$  exists since  $D_i \not\supseteq D_{i+1}$ .

## Illustration

a)  $x_i \leq x \rightarrow x_i \in D_i$



b)  $x_1, x_2, \dots$  s.th.  $\forall j: i < j, x_i \in D_i, x_i \notin D_j$



Lemma: Let  $I \subseteq S$  be a d.w.c.l. set and  $(S, \leq)$  w.c.p. Then the following claims are equivalent:

①  $I$  is directed.

②  $\forall D_1, D_2 \subseteq S$  d.w.c.l.  $I \subseteq D_1 \cup D_2 \Leftrightarrow I \subseteq D_1$  or  $I \subseteq D_2$ .

③  $\forall D_1, D_2 \subseteq S$  d.w.c.l.  $I = D_1 \cup D_2 \Rightarrow I = D_1$  or  $I = D_2$ .

### Proof

①  $\Rightarrow$  ② Assume  $I$  is d.w.c.l. and directed. Towards a contradiction let  $D_1, D_2$  d.w.c.l. sets s.th.  $I \subseteq D_1 \cup D_2$  but  $I \not\subseteq D_1$  and  $I \not\subseteq D_2$ . So there exist an  $x_1 \in I$  with  $x_1 \notin D_1$  and an  $x_2 \in I$  with  $x_2 \notin D_2$ . But since  $I$  is directed there is a  $y \in I$  so that  $x_1 \leq y$  and  $x_2 \leq y$ . Since  $I \subseteq D_1 \cup D_2$ , we have  $y \in D_1$  or  $y \in D_2$ . Because of  $D_1, D_2$  d.w.c.l. sets either  $x_1 \in D_1$  or  $x_2 \in D_2$   $\downarrow$ . Conversely,  $I \subseteq D_1 \cup D_2$  holds if we have  $I \subseteq D_1$  or  $I \subseteq D_2$ .

②  $\Rightarrow$  ③ follows directly from ②

③  $\Rightarrow$  ① Assume  $I$  is d.w.c.l. and  $\forall D_1, D_2 \subseteq S$  d.w.c.l. we have  $I = D_1 \cup D_2$  implies  $I = D_1$  or  $I = D_2$ . Towards a contradiction, assume that  $I$  is not directed. So there exist  $v_1, v_2 \in I$  s.th. there is no  $u \in I$  with  $u \geq v_1$  and  $u \geq v_2$ . We define

$$B_1 := \{x \in S \mid \exists u \in I : u \geq x \wedge u \geq v_1\}$$

$$B_2 := \{x \in S \mid \exists u \in I : u \geq x \wedge u \geq v_2\}$$

So we have  $v_1 \in B_1$  but  $v_2 \notin B_1$  and  $v_2 \in B_2$  but  $v_1 \notin B_2$ . Hence,  $I = B_1 \cup B_2$  but  $I \neq B_1$  and  $I \neq B_2$   $\downarrow$

Lemma Let  $(S, \leq)$  be wqo. Then every decd. set  $D$  is a finite union of ideals.

Proof: Towards a contradiction, assume there are decd. sets that are not a finite union of ideals. Among all decd. sets of  $S$  that are not a finite union of ideals will be a minimal one  $M$ . We have  $M \neq \emptyset$  otherwise it is a finite union of ideals. So  $\exists A, B$  decd. s.t.  $M = A \cup B$  but  $A \neq M$  and  $B \neq M$  because  $M$  is not an ideal. By the minimality of  $M$ ,  $B$  and  $A$  are finite unions of ideals and so is  $M$  as a consequence.

Def Let  $(S, \rightarrow, \leq)$  be WSTS. Then the completion of  $(S, \rightarrow, \leq)$  is a QOTS  $(\hat{S}, \hat{\rightarrow}, \leq)$  where

①  $\hat{S} = \text{Ideals}(S)$

②  $I \hat{\rightarrow} J$  when  $\text{post}(I) \downarrow = J_1 \cup \dots \cup J_k$  is canonical decomposition of  $\text{post}(I)$  and  $J = J_i$  for some  $1 \leq i \leq k$ .

Moreover, we call the completion  $(\hat{S}, \hat{\rightarrow}, \leq)$  post-effective if

①  $\hat{S}$  is recursive enumerable

②  $\leq$  is decidable

③  $\hat{\text{post}}$  is decidable

The  $\hat{\text{post}}$  is the version of  $\text{post}$  lifted to  $(\hat{S}, \hat{\rightarrow}, \leq)$ :

$$\hat{\text{post}}(I) = \{I' \in \hat{S} \mid I \hat{\rightarrow} I'\}$$

With this we can introduce the algorithm for the fixed search

### FORWARD SEARCH

INPUT:  $(S, \rightarrow, \leq)$  effective WSTS with post-effective completion  
 $s_0 \in S, t \in S$

Run the following two semi-algorithm in parallel

① Explore  $\mathcal{R}(s_0)$ , stop with "yes" if we found  $t' \in \mathcal{R}(s_0), t' \geq t$

② Enumerate finite unions of ideals  $J_1 \cup \dots \cup J_k$  in  $\hat{S}$ , stop with "no" when

a)  $J_1 \cup \dots \cup J_k \geq \hat{\text{post}}(J_1 \cup \dots \cup J_k)$

b)  $s_0 \in J_1 \cup \dots \cup J_k$

c)  $t \notin J_1 \cup \dots \cup J_k$

Theorem COVERABILITY is decidable for WTST with a post-effective completion.

Proof: Given  $s_0 \in S$  we need to decide whether some given  $t \in S$  is in  $\text{post}^*(\{s_0\}) \downarrow$ .

Ⓐ Assume  $t$  is coverable. Then the semi-algorithm Ⓐ will terminate with "yes".

Conversely, if  $t$  is not coverable the algorithm will fail to find a suitable path and either not terminate or terminate with no answer (in the case the state space is finite)

Ⓑ Assume  $t$  is not coverable. Then the semi-algorithm Ⓑ will eventually consider the downward-closed set  $\text{post}^*(\{s_0\}) \downarrow$  which clearly satisfies the conditions and the algorithm would correctly answer "no".

Conversely, when  $t$  is coverable, no downward-closed set can satisfy the conditions of being a fwd. ind. inv. containing  $s_0$  but not  $t$ , so the enumeration would not terminate.

Therefore, in all cases one of the two algorithms will terminate with the correct answer.

It remains to clarify why a) - c) are decidable:

a)  $\underbrace{\text{post}(\mathcal{F}_1) \downarrow \cup \dots \cup \text{post}(\mathcal{F}_k) \downarrow}_{\text{finite}} \subseteq \underbrace{\mathcal{F}_1 \cup \dots \cup \mathcal{F}_k}_{\text{finite}}$  is decidable since

$\hat{\text{post}}$  is decidable (post-effective completion) and  $\subseteq$  is decidable (post-effective completion and previous lemma)

b)  $s_0 \in \mathcal{F}_1 \cup \dots \cup \mathcal{F}_k \Leftrightarrow \{s_0\} \downarrow \subseteq \mathcal{F}_1 \cup \dots \cup \mathcal{F}_k$  is again decidable because of the previous lemma and the post-effectiveness of  $(S, \xrightarrow{\quad}, \subseteq)$

c)  $t \notin \mathcal{F}_1 \cup \dots \cup \mathcal{F}_k \Leftrightarrow \{t\} \downarrow \not\subseteq \mathcal{F}_1 \cup \dots \cup \mathcal{F}_k$  analogously.